

# Semantics Bootcamp Part III: More of English

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## 1 Fun with Functional Application

### Composition rules

For branching nodes:

(1) **Functional Application (FA)**

If  $\alpha$  is a branching node and  $\{\beta, \gamma\}$  the set of its daughters, then, for any assignment  $a$ , if  $\llbracket \beta \rrbracket$  is a function whose domain contains  $\llbracket \gamma \rrbracket$ , then  $\llbracket \alpha \rrbracket = \llbracket \beta \rrbracket(\llbracket \gamma \rrbracket)$ .

For non-branching nodes:

(2) **Non-branching Nodes (NN)**

If  $\alpha$  is a non-branching node and  $\beta$  its daughter, then, for any assignment  $a$ ,  $\llbracket \alpha \rrbracket = \llbracket \beta \rrbracket$ .

(3) **Terminal Nodes (TN)**

If  $\alpha$  is a terminal node occupied by a lexical item, then  $\llbracket \alpha \rrbracket$  is specified in the lexicon.

### 1.1 Rick Perry is conservative

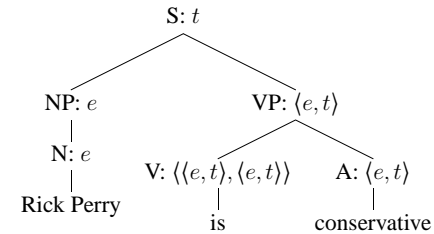
(4)  $\llbracket \text{Rick Perry} \rrbracket = \text{Rick Perry}$

(5)  $\llbracket \text{conservative} \rrbracket = \lambda x \in D_e . x \text{ is conservative}$

What to do with *is*? How about just an identity function:

(6)  $\llbracket \text{is} \rrbracket = \lambda f \in D_{\langle e, t \rangle} . f$

(7)



$$\begin{aligned} & \llbracket [S [NP [N \text{ Rick Perry} ] ] [VP [V \text{ is} ] [A \text{ conservative} ] ] ] \rrbracket \\ &= \llbracket [VP [V \text{ is} ] [A \text{ conservative} ] ] \rrbracket(\llbracket [NP [N \text{ Rick Perry} ] ] \rrbracket) && \text{FA} \\ &= \llbracket [V \text{ is} ] \rrbracket(\llbracket [A \text{ conservative} ] \rrbracket)(\llbracket [NP [N \text{ Rick Perry} ] ] \rrbracket) && \text{FA} \\ &= \llbracket \text{is} \rrbracket(\llbracket \text{conservative} \rrbracket)(\llbracket \text{Rick Perry} \rrbracket) && \text{NN} \\ &= \llbracket \lambda f \in D_{\langle e, t \rangle} . f \rrbracket(\llbracket \lambda x \in D_e . x \text{ is conservative} \rrbracket)(\text{Rick Perry}) && \text{TN} \\ &= \llbracket \lambda x \in D_e . x \text{ is conservative} \rrbracket(\text{Rick Perry}) && \beta\text{-reduction} \\ &= 1 \text{ iff Rick Perry is conservative}^* && (\beta\text{-reduction}) \end{aligned}$$

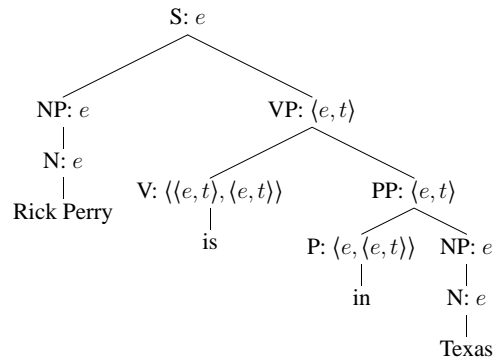
\*Notice that I wrote “= 1 iff Rick Perry is conservative” instead of “= Rick Perry is conservative”. Normally, the result of  $\beta$ -reduction is written by replacing the  $\lambda$ -bound variable by the argument to which the function is being applied, but this case is different. That is because for Heim & Kratzer, “[ $\lambda\alpha : \phi . \gamma$ ]” is read as either (i) or (ii), “whichever makes sense”:

- (i) “the function which maps every  $\alpha$  such that  $\phi$  to  $\gamma$ ”
  - (ii) “the function which maps every  $\alpha$  such that  $\phi$  to 1, if  $\gamma$ , and to 0 otherwise”
- When the value description is a sentence, it makes sense to read it in way (ii).

### 1.2 Rick Perry is in Texas

(8)  $\llbracket \text{in} \rrbracket = \lambda y \in D_e . \lambda x \in D_e . x \text{ is in } y$

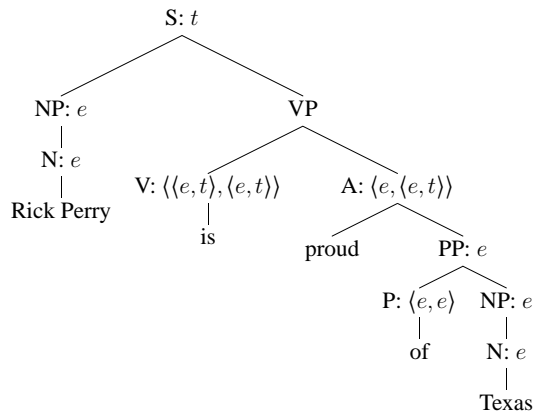
(9)  $\llbracket \text{Texas} \rrbracket = \text{Texas}$



### 1.3 Rick Perry is proud of Texas

(10)  $\llbracket \text{proud} \rrbracket = \lambda y \in D_e . \lambda x \in D_e . x \text{ is proud of } y$

(11)  $\llbracket \text{of} \rrbracket = \lambda x \in D_e . x$



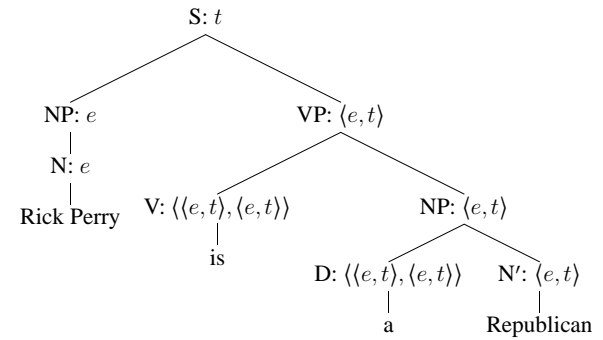
### 1.4 Rick Perry is a Republican

(12)  $\llbracket \text{Republican} \rrbracket = \lambda x \in D_e . x \text{ is a Republican}$

Hey, let's make the indefinite article vacuous too:

(13)  $\llbracket a \rrbracket = \lambda f \in D_{(e,t)} . f$

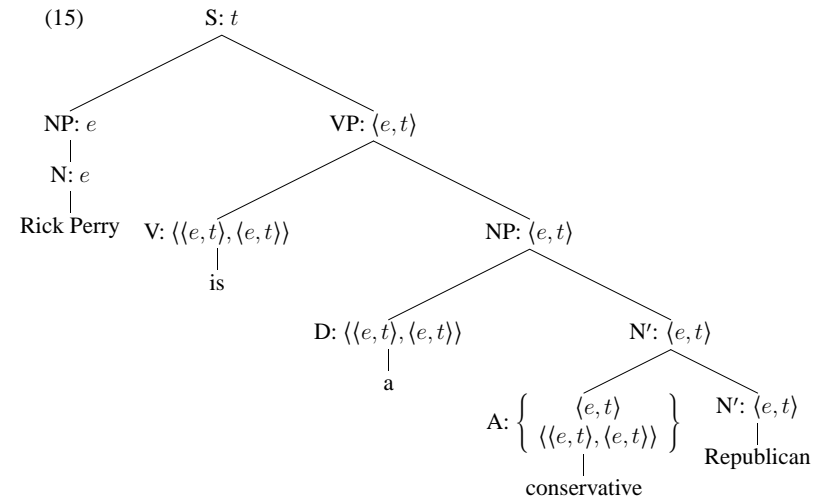
(14)



## 2 Predicate Modification

### 2.1 Rick Perry is a conservative Republican

(15)



Our lexical entry for *conservative* from above is type  $\langle e, t \rangle$ :

$$(16) \llbracket \text{conservative}_1 \rrbracket = \lambda x \in D_e . x \text{ is conservative}$$

If we want to use Functional Application here, we need *conservative* to be a function of type  $\langle \langle e, t \rangle, \langle e, t \rangle \rangle$ .

$$(17) \llbracket \text{conservative}_2 \rrbracket = \lambda f \in D_{\langle e, t \rangle} . \lambda x \in D_e . f(x) = 1 \text{ and } x \text{ is conservative}$$

Now,  $\llbracket \llbracket_{N'} [A \text{ conservative}_2] [N \text{ Republican}] \rrbracket \rrbracket$

$$= \llbracket [A \text{ conservative}_2] \rrbracket (\llbracket [N \text{ Republican}] \rrbracket) \quad \text{FA}$$

$$= \llbracket \text{conservative}_2 \rrbracket (\llbracket \text{Republican} \rrbracket) \quad \text{NN}$$

$$= \boxed{\lambda f \in D_{\langle e, t \rangle} . \lambda x \in D_e . f(x) = 1 \text{ and } x \text{ is conservative}} (\boxed{\lambda x \in D_e . x \text{ is a Republican}}) \quad \text{TN}$$

$$= \lambda x \in D_e . x \text{ is a Republican and } x \text{ is conservative} \quad \beta\text{-reduction}$$

This is Montague's strategy. All adjectives are  $\langle \langle e, t \rangle, \langle e, t \rangle \rangle$  for him, and in predicate position, they combine with a silent noun. Despite the ungrammaticality of *\*Rick Perry is conservative Republican*.

Alternative strategy: Use another composition rule.

### (18) Predicate Modification (PM)

If  $\alpha$  is a branching node,  $\{\beta, \gamma\}$  is the set of  $\alpha$ 's daughters, and  $\llbracket \beta \rrbracket$  and  $\llbracket \gamma \rrbracket$  are both in  $D_{\langle e, t \rangle}$ , then  $\llbracket \alpha \rrbracket = \lambda x \in D_e . \llbracket \beta \rrbracket (x) = \llbracket \gamma \rrbracket (x) = 1$

Now,  $\llbracket \llbracket_{N'} [A \text{ conservative}_1] [N \text{ Republican}] \rrbracket \rrbracket$

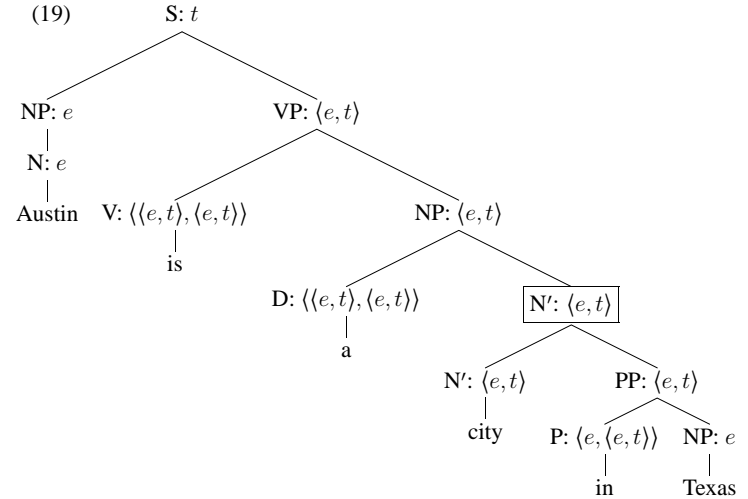
$$= \lambda x \in D_e . \llbracket [A \text{ conservative}_1] \rrbracket (x) = \llbracket [N \text{ Republican}] \rrbracket (x) = 1 \quad \text{PM}$$

$$= \lambda x \in D_e . \llbracket \text{conservative}_1 \rrbracket (x) = \llbracket \text{Republican} \rrbracket (x) = 1 \quad \text{NN}$$

$$= \lambda x \in D_e . x \text{ is conservative and } x \text{ is a Republican} \quad \beta\text{-reduction}$$

## 2.2 Austin is a city in Texas

We can also use Predicate Modification with PP modifiers:



## 3 The definite article

What if we have *the governor of Texas* instead of *Rick Perry*?

### 3.1 The negative square root of 4

Regarding *the negative square root of 4*, Frege says, “We have here a case in which out of a concept-expression [i.e., an expression whose meaning is of type  $\langle e, t \rangle$ ] a compound proper name is formed [that is to say, the entire expression is of type  $e$ ] with the help of the definite article in the singular, which is at any rate permissible when one and only one object falls under the concept.”

“Permissible”: *the* denotes a function of type  $\langle \langle e, t \rangle, e \rangle$  that is only *defined* for input predicates that characterize one single entity. In other words, *the* presupposes existence and uniqueness. Heim and Kratzer implement this as a restriction on the domain using the colon/dot notation:

$$(20) \llbracket \text{the} \rrbracket = \lambda f \in D_{\langle e, t \rangle} : \text{there is exactly one } x \text{ such that } f(x) = 1 . \text{ the unique } y \text{ such that } f(y) = 1$$

So *the* is not a function from  $D_{\langle e,t \rangle}$  to  $D_e$ ; it is a *partial function* from  $D_{\langle e,t \rangle}$  to  $D_e$ . But we can still give it the type  $\langle \langle e,t \rangle, e \rangle$  if we interpret this to allow partial functions.

To flesh out Frege’s analysis of this example further, Heim and Kratzer suggest that *square root* is a “transitive noun”, with a meaning of type  $\langle e, \langle e,t \rangle \rangle$ , and that “*of* is vacuous,  $\llbracket \text{square root} \rrbracket$  applies to 4 via Functional Application, and the result of that composes with  $\llbracket \text{negative} \rrbracket$  under predicate modification.”

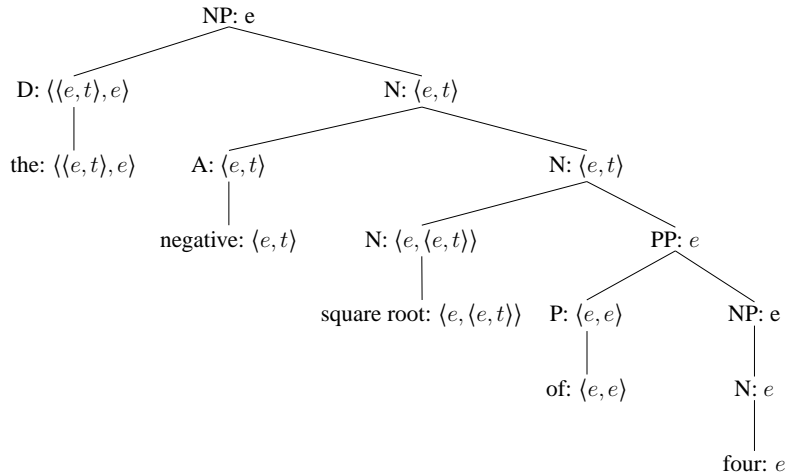
(21)  $\llbracket \text{negative} \rrbracket = \lambda x \in D_e . x \text{ is negative}$

(22)  $\llbracket \text{square root} \rrbracket = \lambda y \in D_e . \lambda x \in D_e . x \text{ is the square root of } y$

(23)  $\llbracket \text{of} \rrbracket = \lambda x \in D_e . x$

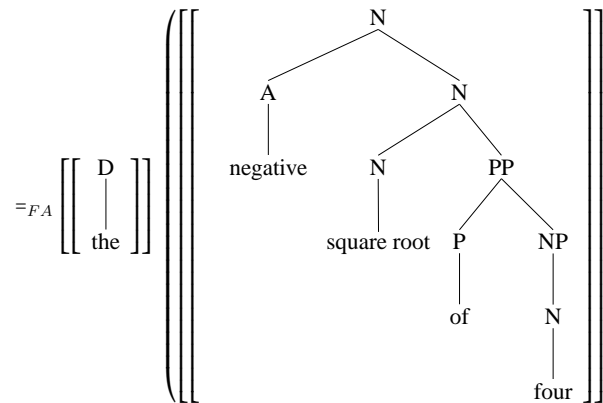
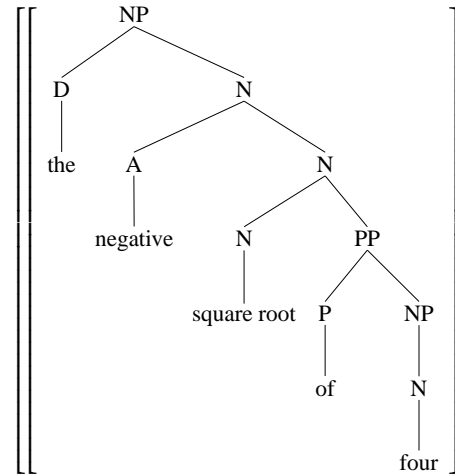
(24)  $\llbracket \text{four} \rrbracket = 4$

So the constituents will have denotations of the following types:



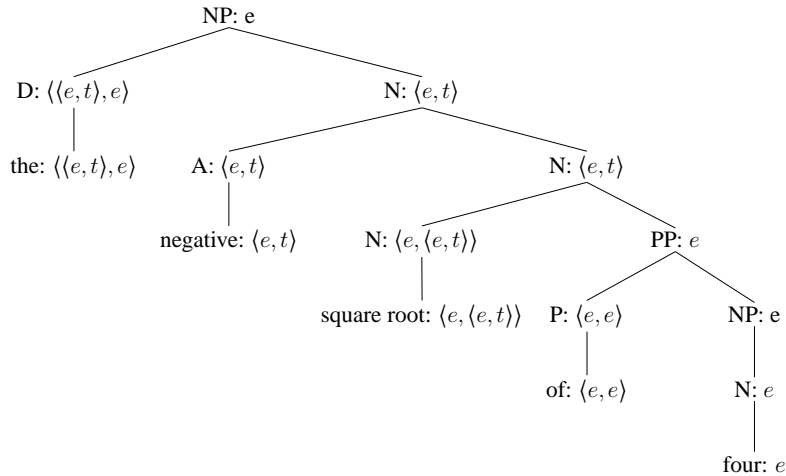
### 3.2 Top-down evaluation

To compute the value “top-down”, we put the whole tree in one big old pair of denotation brackets, and use composition rules to break down the tree. Here I am putting the name of the rule I used as a subscript on the equals sign, because I don’t have enough room to put them off to the right.





### 3.3 Bottom-up style



We need to compute a semantic value for every subtree/node. For convenience, we can group the nodes according to the string of words that they dominate, and start from the most deeply embedded part of the tree.

Note: I reserve the right to abbreviate e.g.  $[[_{PP} [P \text{ of } ] [_{NP} [N \text{ four } ] ] ]]$  as  $[[_{PP} \text{ of four}]]$ , omitting all but the outermost brackets.

#### Bottom-up derivation

*four*

- $[[\text{four}]] = 4$  TN
- $[[[_{NP} [N \text{ four} ] ]]] = [[[N \text{ four}]]] = [[\text{four}]] = 4$  NN

*of*

- $[[[P \text{ of } ]]] = [[\text{of}]] = \lambda x . x$  TN, NN

*of four*

- $[[[_{PP} \text{ of four}]]] = [[[P \text{ of } ]]]([[_{NP} 4]])$  FA  
 $= [\lambda x . x](4) = 4$

*square root*

- $[[[N \text{ square root}]]] = [[\text{square root}]]$  NN  
 $= \lambda y \in D_e . \lambda x \in D_e . x \text{ is a square root of } y$  TN

*square root of four*

- $[[[N \text{ square root of four}]]] = [[[N \text{ square root}]]]([[_{PP} \text{ of four}]]])$  FA  
 $= \lambda y \in D_e . \lambda x \in D_e . x \text{ is a square root of } y(4)$   
 $= \lambda x \in D_e . x \text{ is a square root of } 4$   
 $= \lambda x \in D_e . x \in \{2, -2\}$

*negative*

- $[[[A \text{ negative}]]] = [[\text{negative}]] = \lambda x \in D_e . x \text{ is negative}$  TN, NN

*negative square root of four*

- $[[[N \text{ negative square root of four}]]] = \lambda x . [[[A \text{ negative}]]](x) = [[[N \text{ square root of four}]]](x) = 1$  PM  
 $= \lambda x . x \text{ is a square root of } 4 \text{ and } x \text{ is negative}$   
 $= \lambda x . x \in \{-2\}$

*the*

- $[[[D \text{ the } ]]] = [[\text{the}]]$  TN, NN  
 $= \lambda f \in D_{(e,t)} : \text{there is exactly one } x \text{ s. t. } f(x) = 1 . \text{ the } y \text{ such that } f(y) = 1$

*the negative square root of four*

- $[[[[_{NP} \text{ the negative square root of four} ] ]]] = [[[D \text{ the } ]]]([[_{N} \text{ negative square root of four} ]])$  FA  
 $= [\lambda f \in D_{(e,t)} : \text{there is exactly one } x \text{ such that } f(x) = 1 . \text{ the unique } y \text{ such that } f(y) = 1](\lambda x . x \in \{-2\})$   
 $= \text{the unique } y \text{ such that } y \in \{-2\}$   
 $= -2$

Note that we used the same composition rules as we did using the top-down style!

This style is a little bit simpler and cleaner. But it doesn't work when you have to manipulate variable assignments... which we will cover tomorrow!